

# *Lecture 8:*

## *Wavefront Sensing*



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Astro 289C, UCSC  
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# Outline of lecture

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- General discussion: Types of wavefront sensors
- Three types in more detail:
  - Shack-Hartmann wavefront sensors
  - Curvature sensing
  - Pyramid sensing

# *At longer wavelengths, one can measure phase directly*

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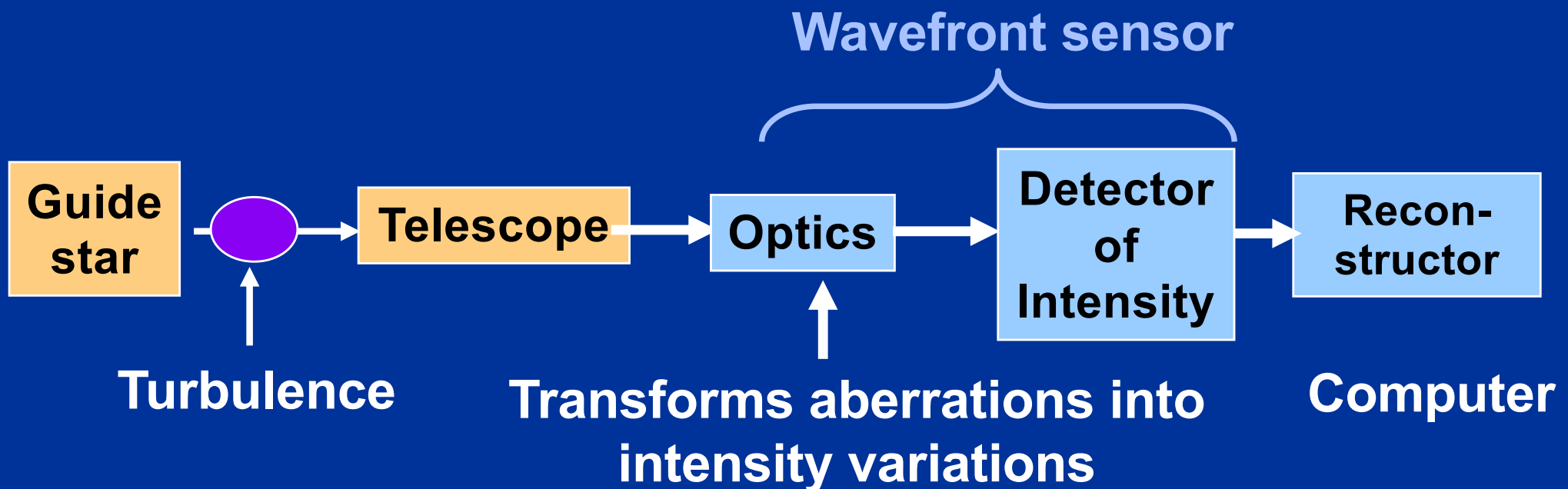


- FM radios, radar, radio interferometers like the VLA, ALMA
- All work on a narrow-band signal that gets mixed with a very precise “intermediate frequency” from a local oscillator. “Heterodyne” measurement.
- Very hard to do this at visible and near-infrared wavelengths
  - Could use a laser as the intermediate frequency, but would need tiny bandwidth of visible or IR light

# *At visible and near-IR wavelengths, measure phase via intensity variations*



- Difference between various wavefront sensor schemes is the way in which phase differences are turned into intensity differences
- General box diagram:



# How to use intensity to measure phase?



- Irradiance transport equation:  $A$  is complex field amplitude,  $z$  is propagation direction. (Teague, 1982, JOSA 72, 1199)

$$\text{Let } A(x, y, z) = [I(x, y, z)]^{1/2} \exp[ik\phi(x, y, z)]$$

- Follow  $I(x, y, z)$  as it propagates along the  $z$  axis (paraxial ray approximation: small angle w.r.t.  $z$ )

$$\frac{\partial I}{\partial z} = -\nabla I \cdot \nabla \phi - I \nabla^2 \phi$$

Wavefront curvature:  
Curvature  
Sensors

Wavefront tilt: Hartmann and  
Pyramid sensors

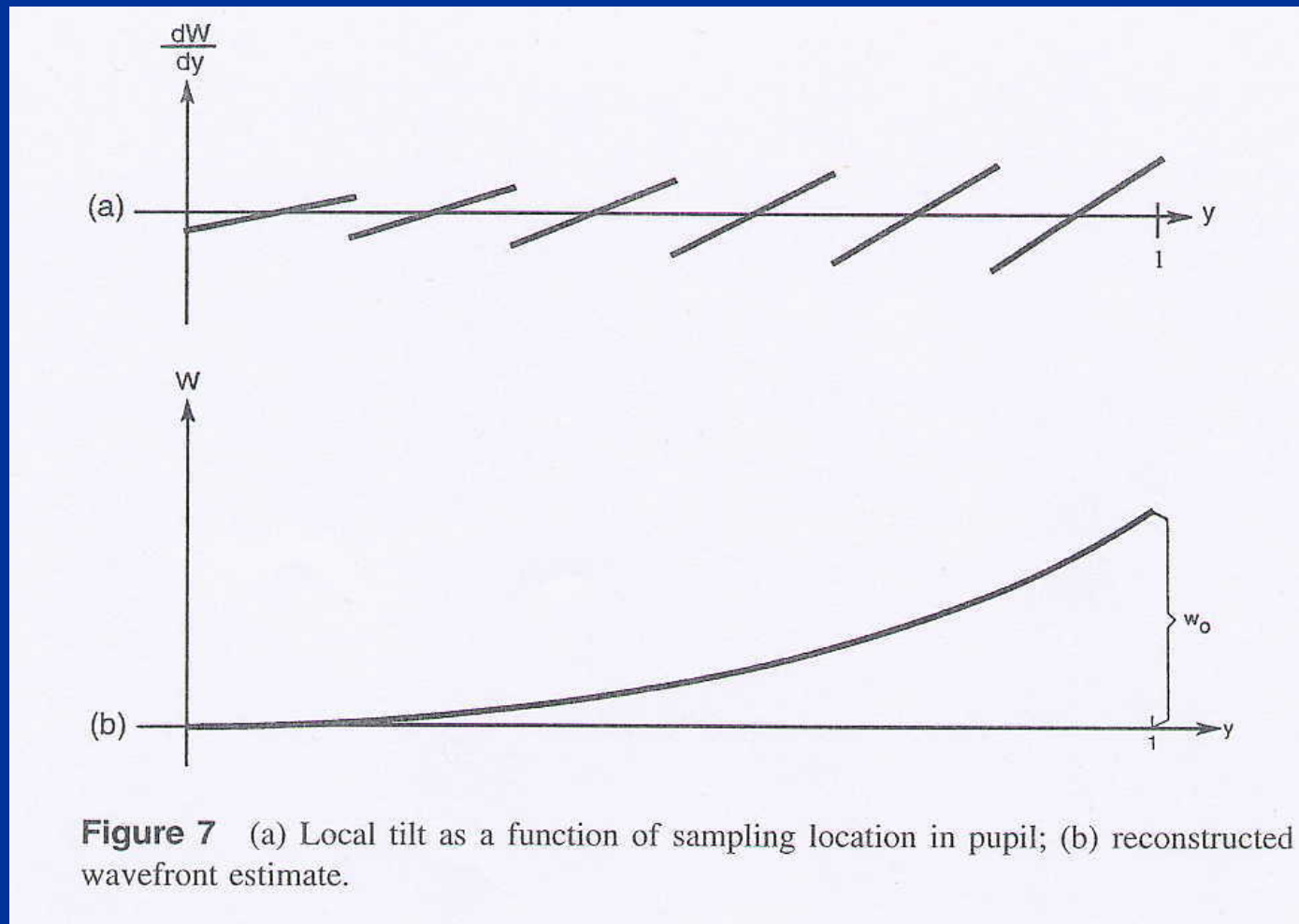
# Types of wavefront sensors

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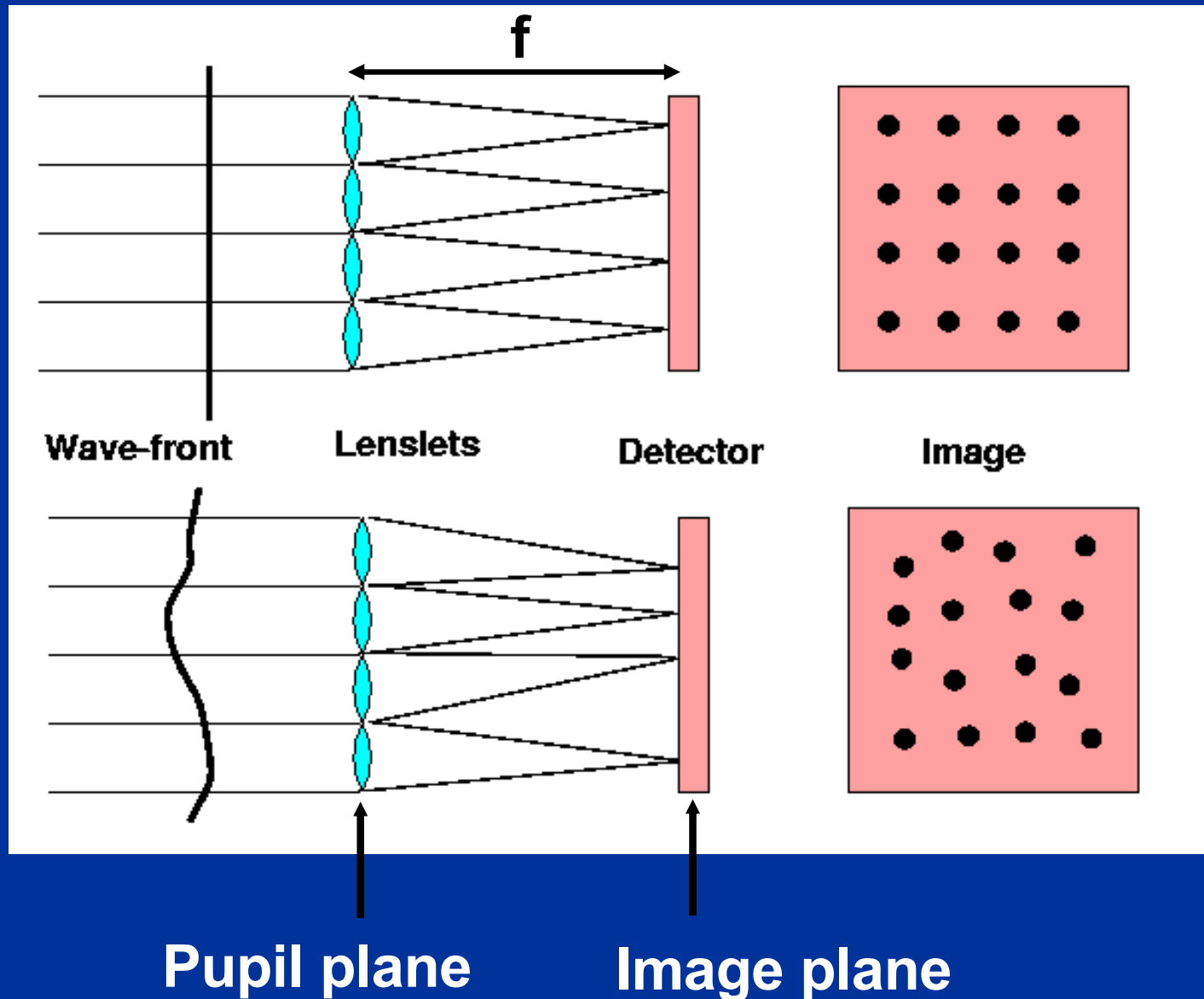


- **“Direct” in pupil plane:** split pupil up into subapertures in some way, then use intensity in each subaperture to deduce phase of wavefront.
  - Slope sensing: Shack-Hartmann, Pyramid sensing
  - Curvature sensing
- **“Indirect” in focal plane:** wavefront properties are deduced from whole-aperture intensity measurements made at or near the focal plane. Iterative methods - calculations take longer to do.
  - Image sharpening
  - Phase diversity, phase retrieval, Gerchberg-Saxton (these are used, for example, in JWST)
  - Usually used to measure nearly static aberrations

# How to reconstruct wavefront from measurements of local "tilt"



# Shack-Hartmann wavefront sensor concept - measure subaperture tilts

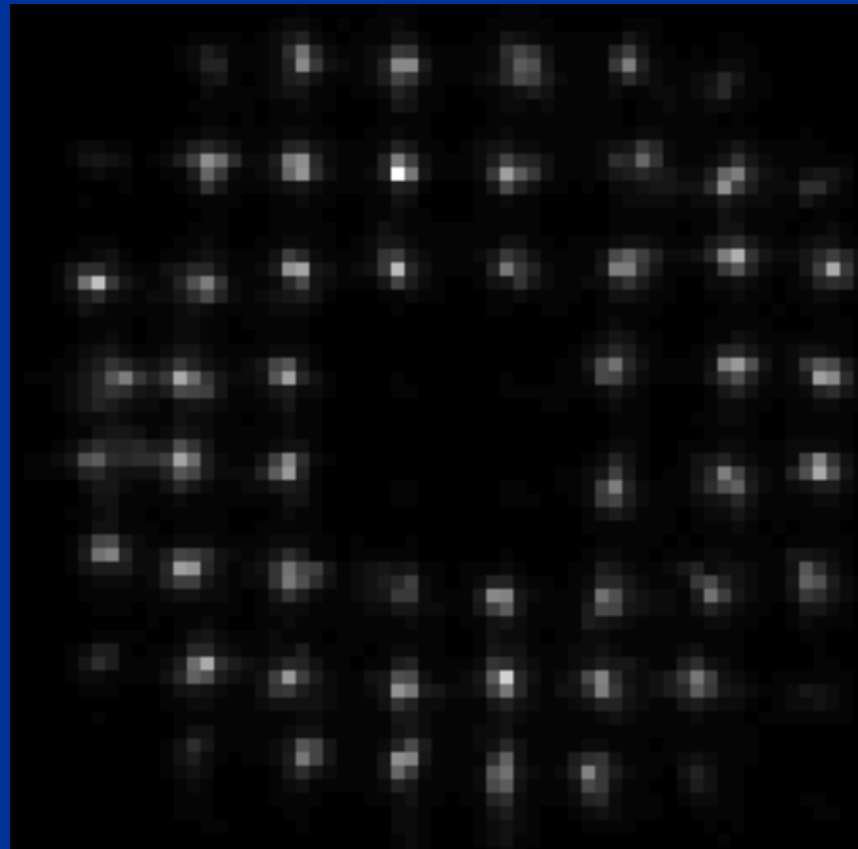


Credit:  
A. Tokovinin

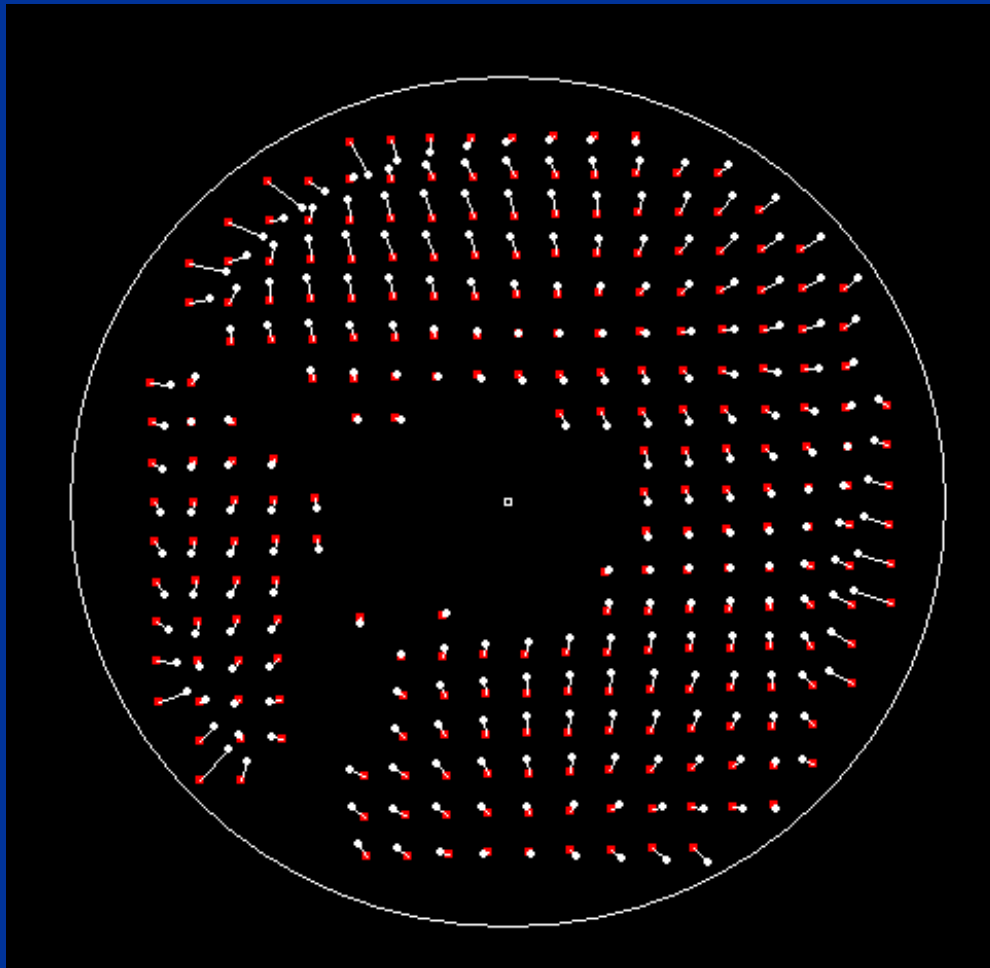


# Example: Shack-Hartmann Wavefront Signals

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# Displacement of centroids



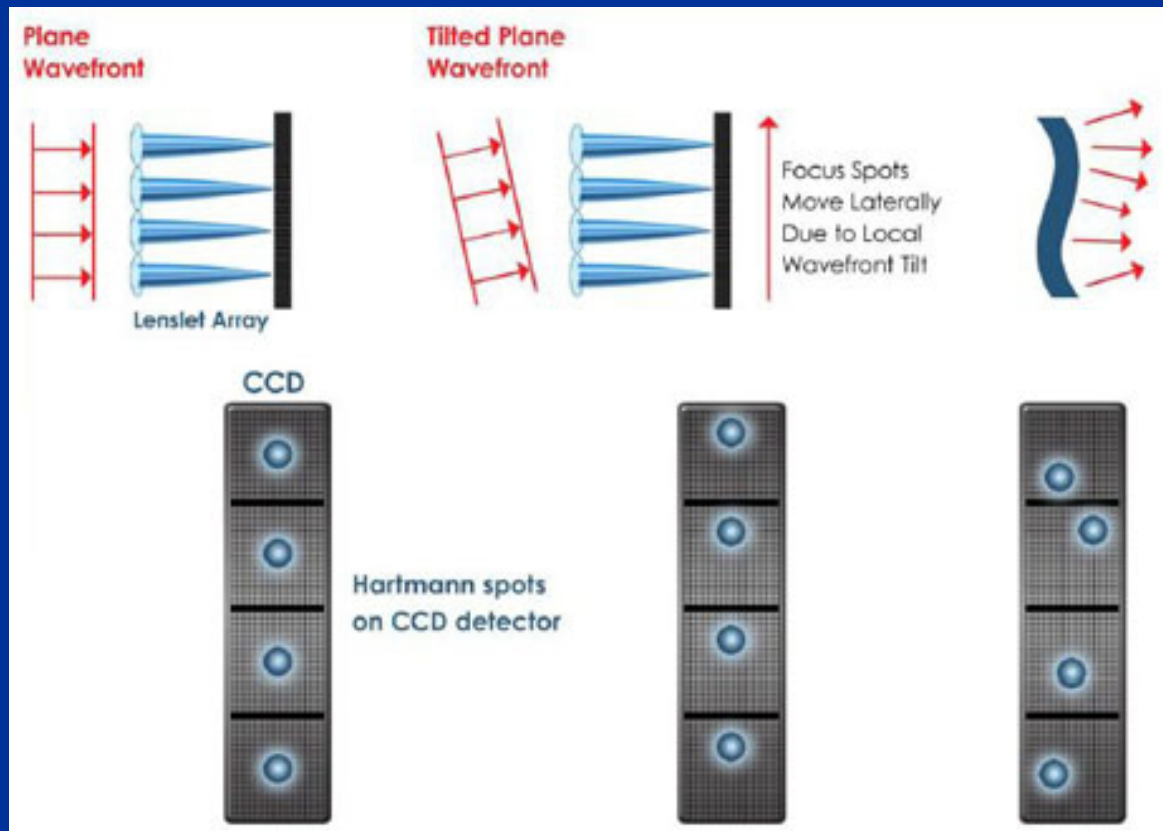
- Definition of centroid

$$\bar{x} \equiv \frac{\iint I(x,y) x \, dx dy}{\iint I(x,y) dx dy}$$

$$\bar{y} \equiv \frac{\iint I(x,y) y \, dx dy}{\iint I(x,y) dx dy}$$

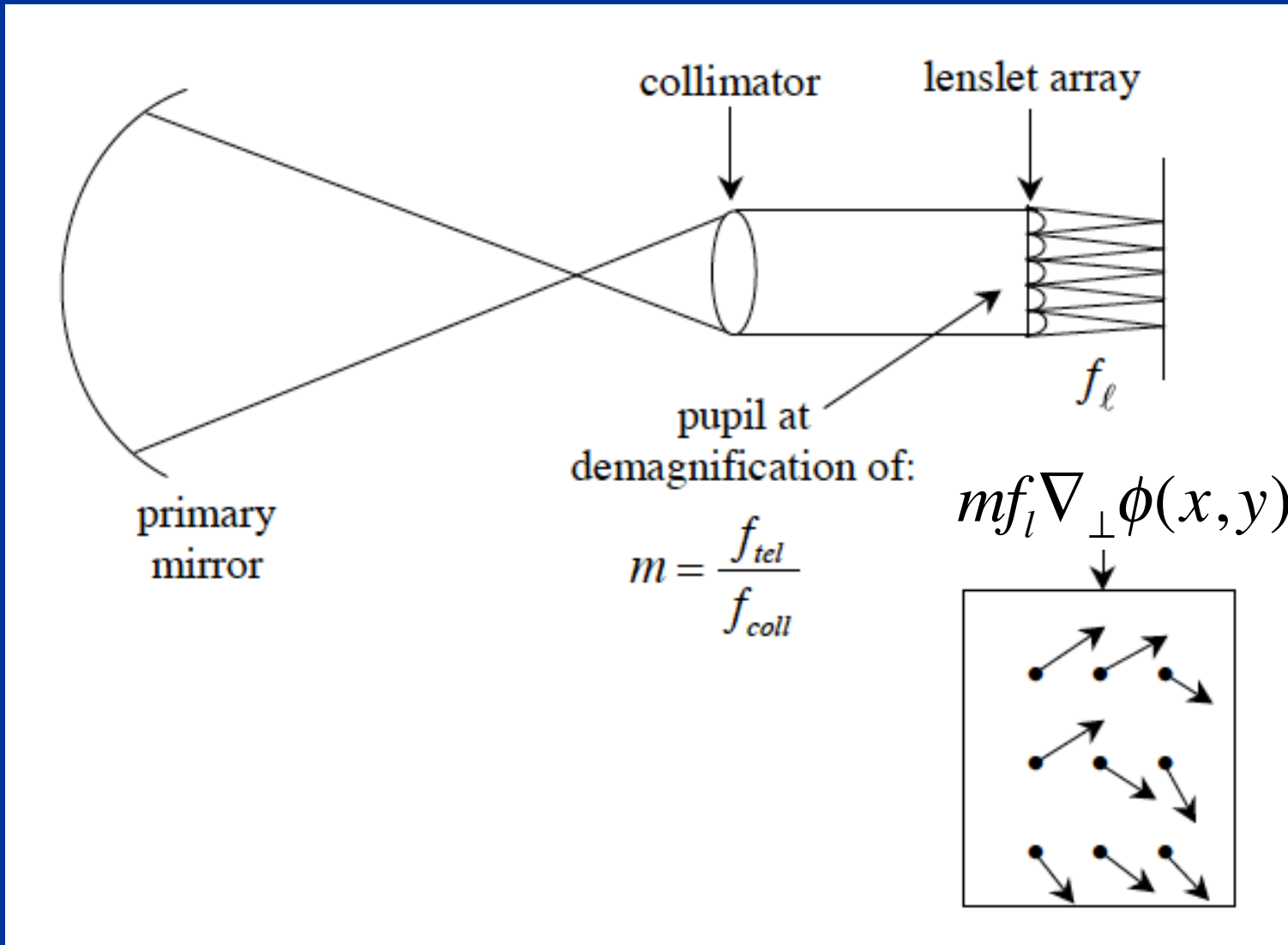
- Centroid is intensity weighted
- ← Each arrow represents an offset proportional to its length

# Notional Shack-Hartmann Sensor spots



Credit: Boston Micromachines

# Displacement of Hartmann Spots



# Quantitative description of Shack-Hartmann operation



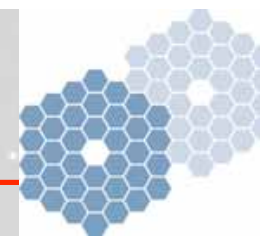
- Relation between displacement of Hartmann spots and slope of wavefront:

$$\Delta \vec{x} \propto \nabla_{\perp} \phi(x, y)$$

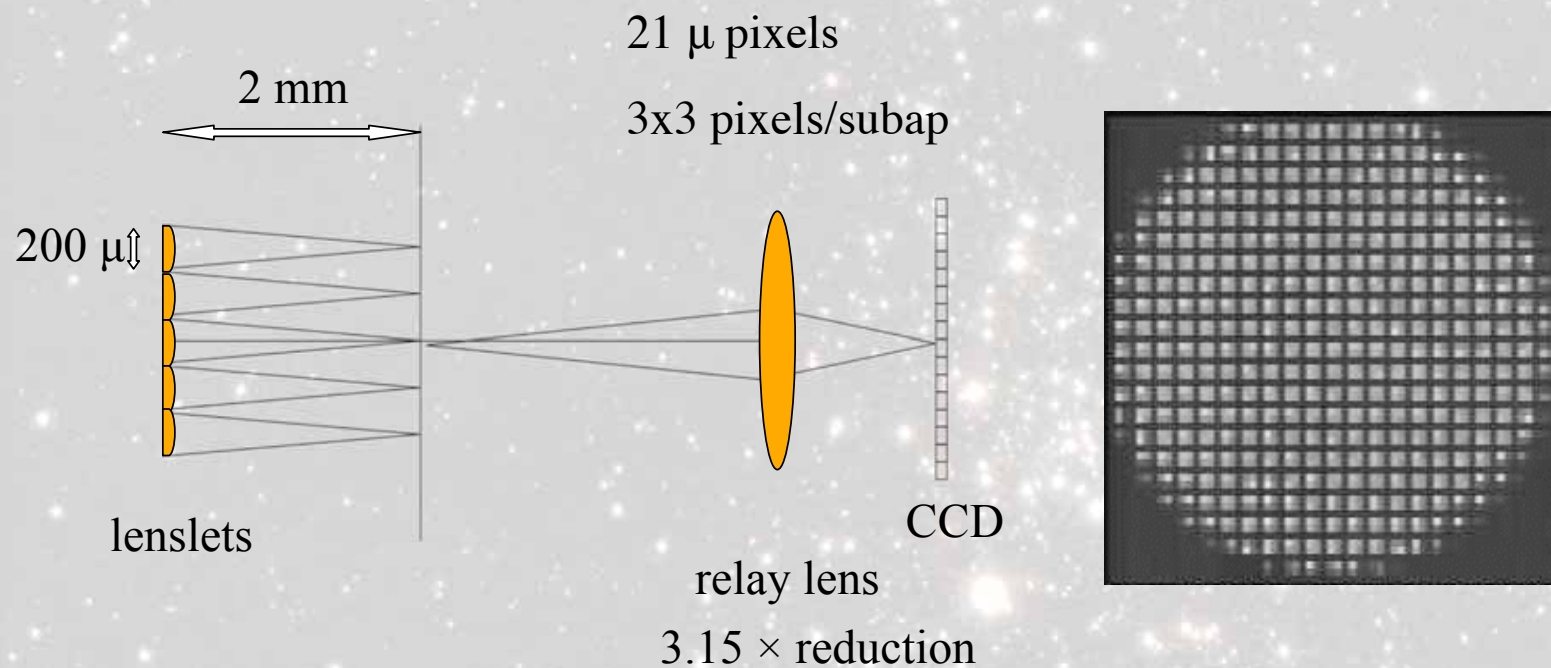
$$k \Delta \vec{x} = M f \nabla_{\perp} \phi(x, y)$$

where  $k = 2\pi / \lambda$ ,  $\Delta x$  is the lateral displacement of a subaperture image,  $M$  is the (de)magnification of the system,  $f$  is the focal length of the lenslets in front of the Shack-Hartmann sensor

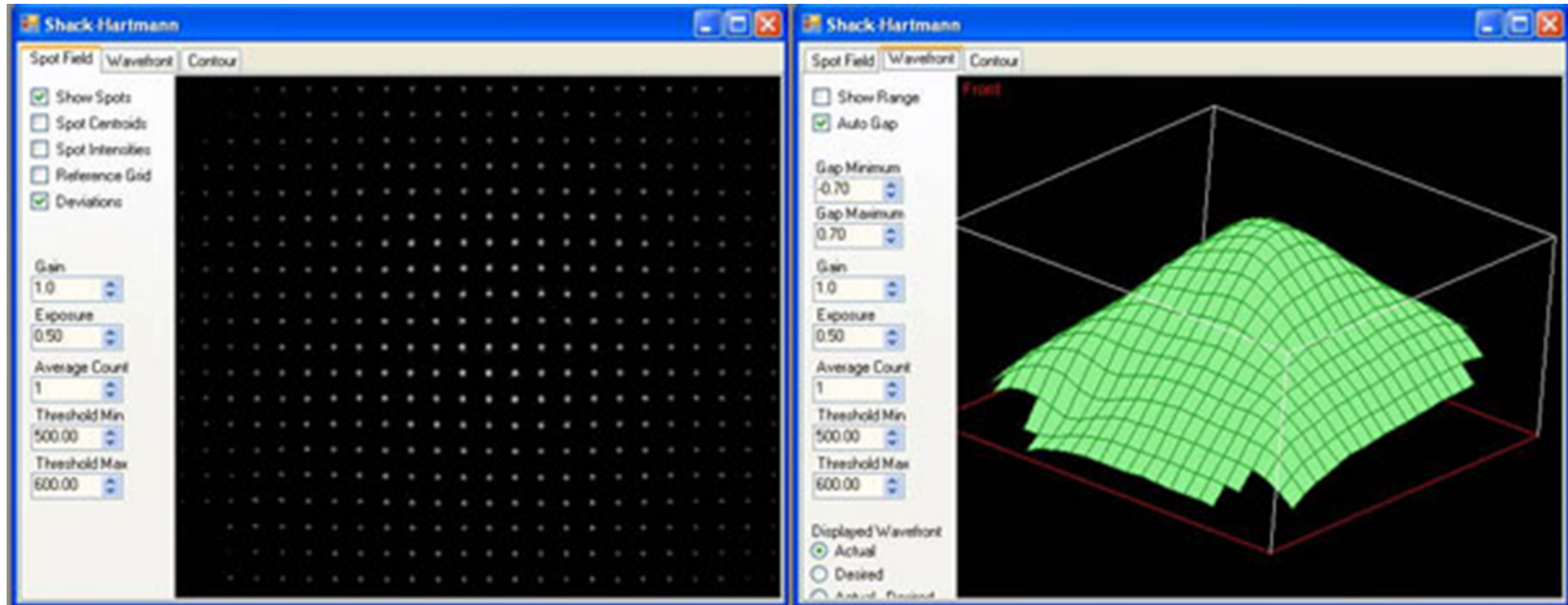
# Typical Astronomy WFS



Former Keck AO WFS sensor



Credit: Marcos van Dam

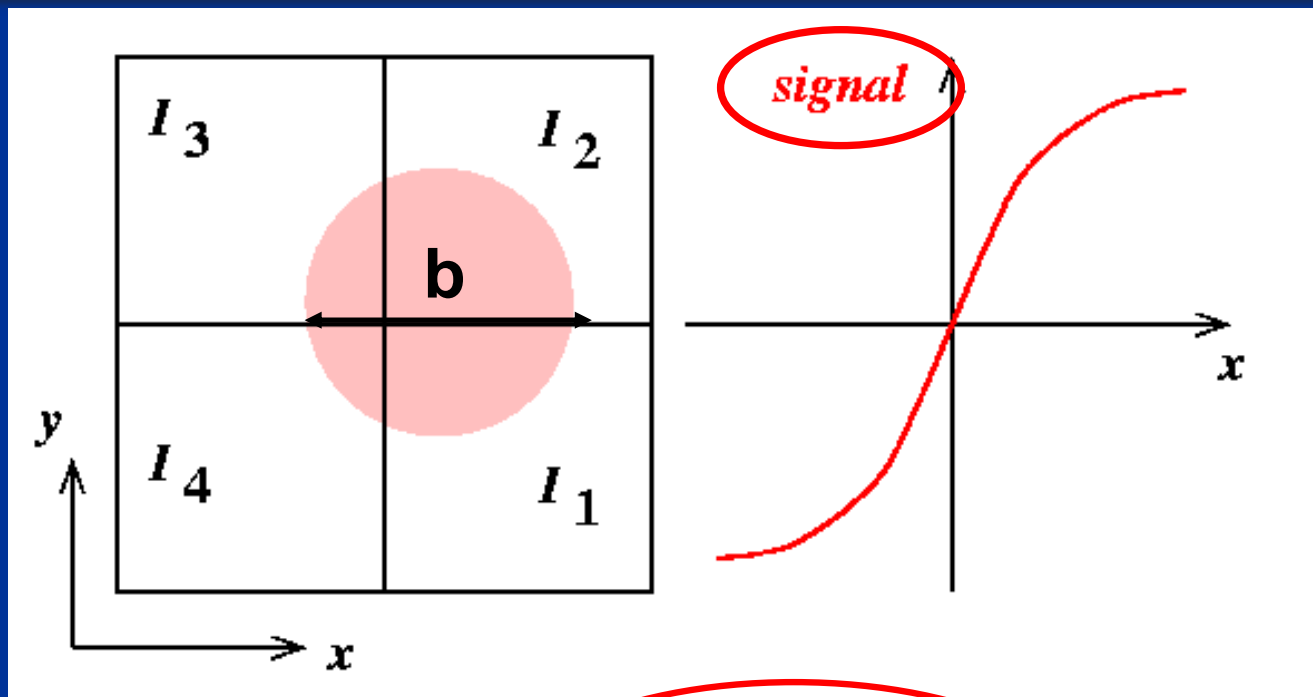


Shack-Hartmann Spots

Wavefront shape

Credit: Thorlabs

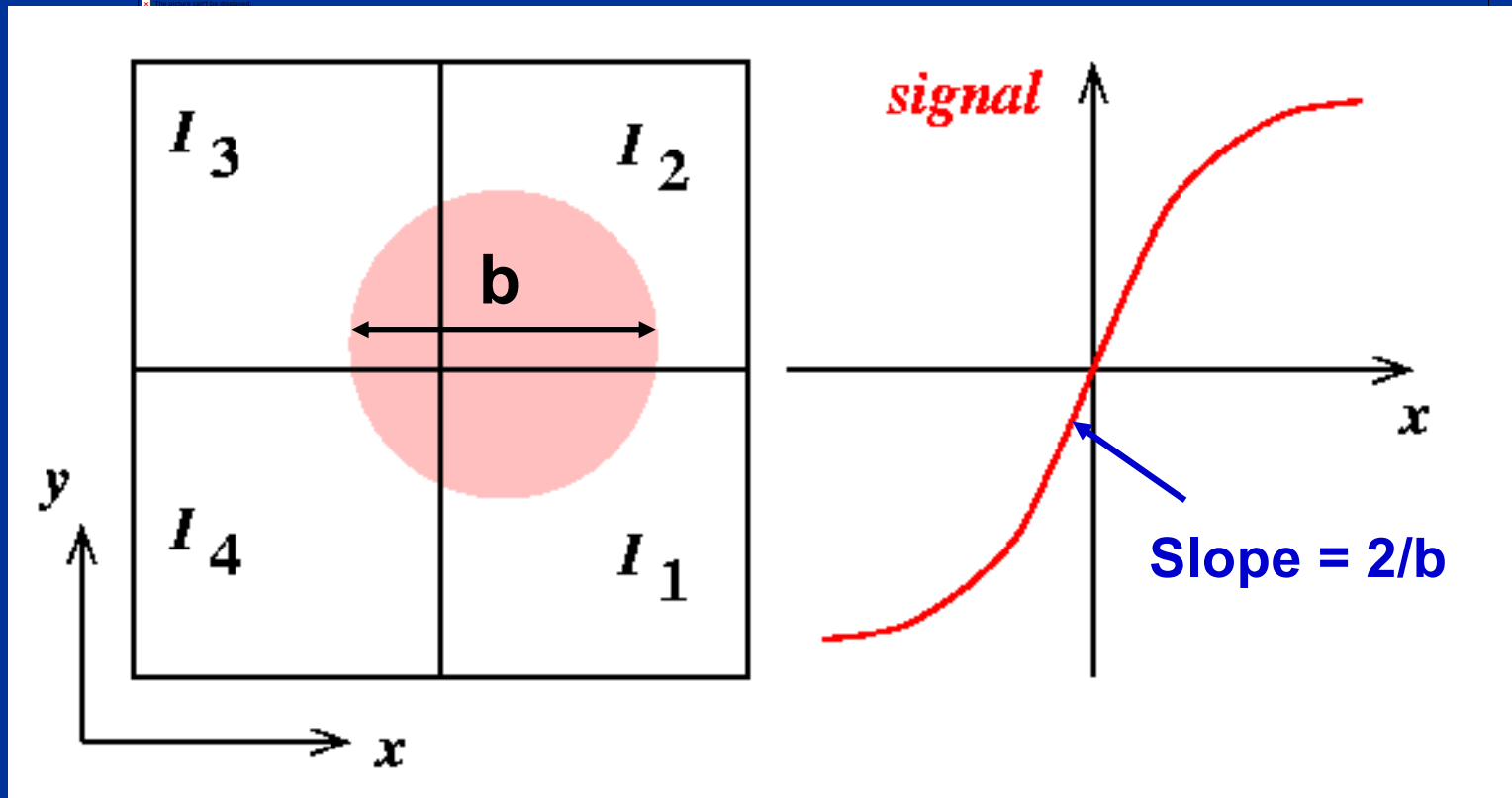
# How to measure distance a spot has moved on CCD? "Quad cell formula"



$$\delta_x \cong \frac{b}{2} \left[ \frac{(I_2 + I_1) - (I_3 + I_4)}{(I_1 + I_2 + I_3 + I_4)} \right]$$
$$\delta_y \cong \frac{b}{2} \left[ \frac{(I_3 + I_2) - (I_4 + I_1)}{(I_1 + I_2 + I_3 + I_4)} \right]$$



**Disadvantage: “gain” depends on spot size  $b$  which can vary during the night**

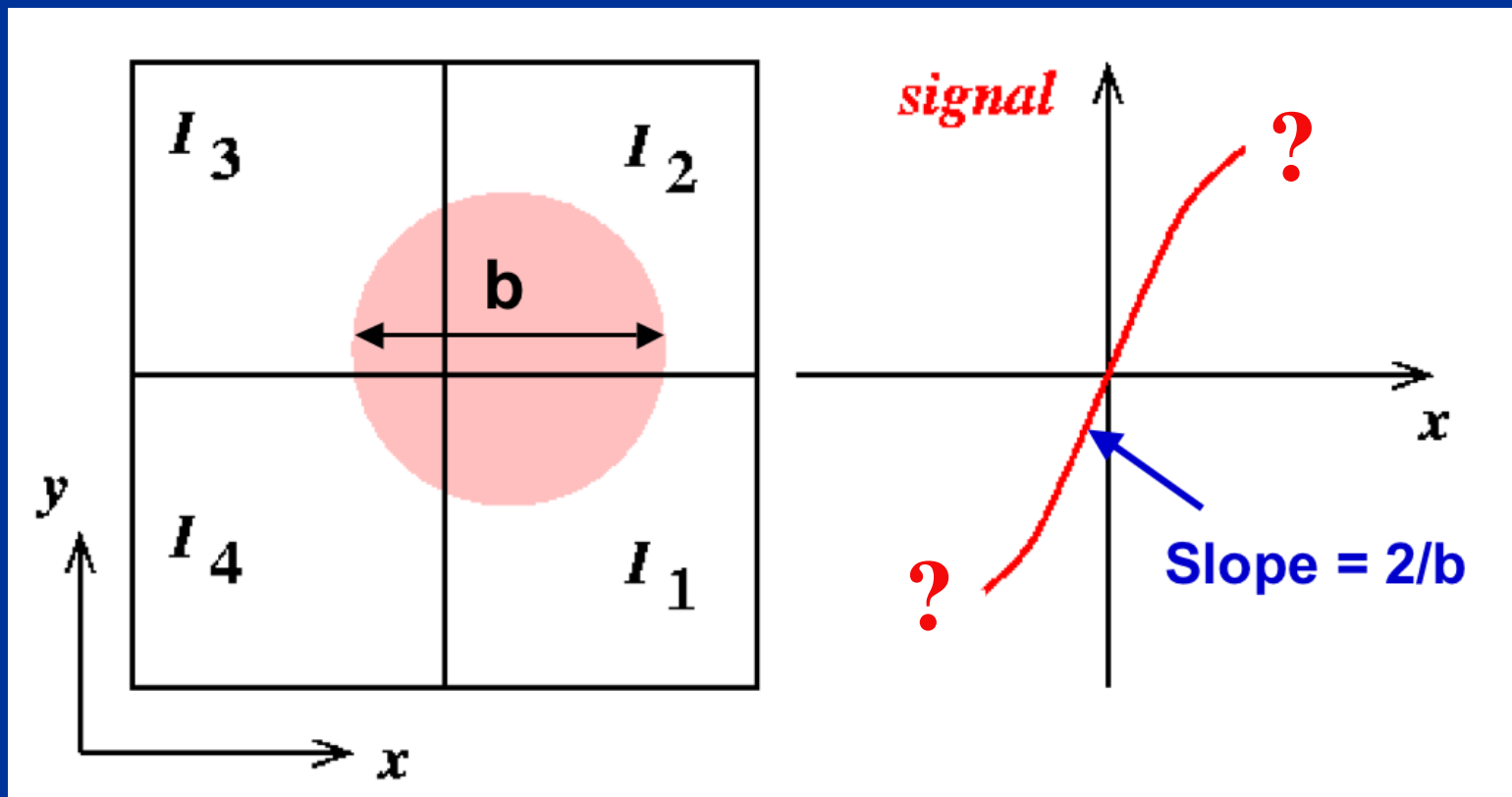


$$\delta_{x,y} = \frac{b \text{ (difference of } I \text{'s)}}{2 \text{ (sum of } I \text{'s)}}$$

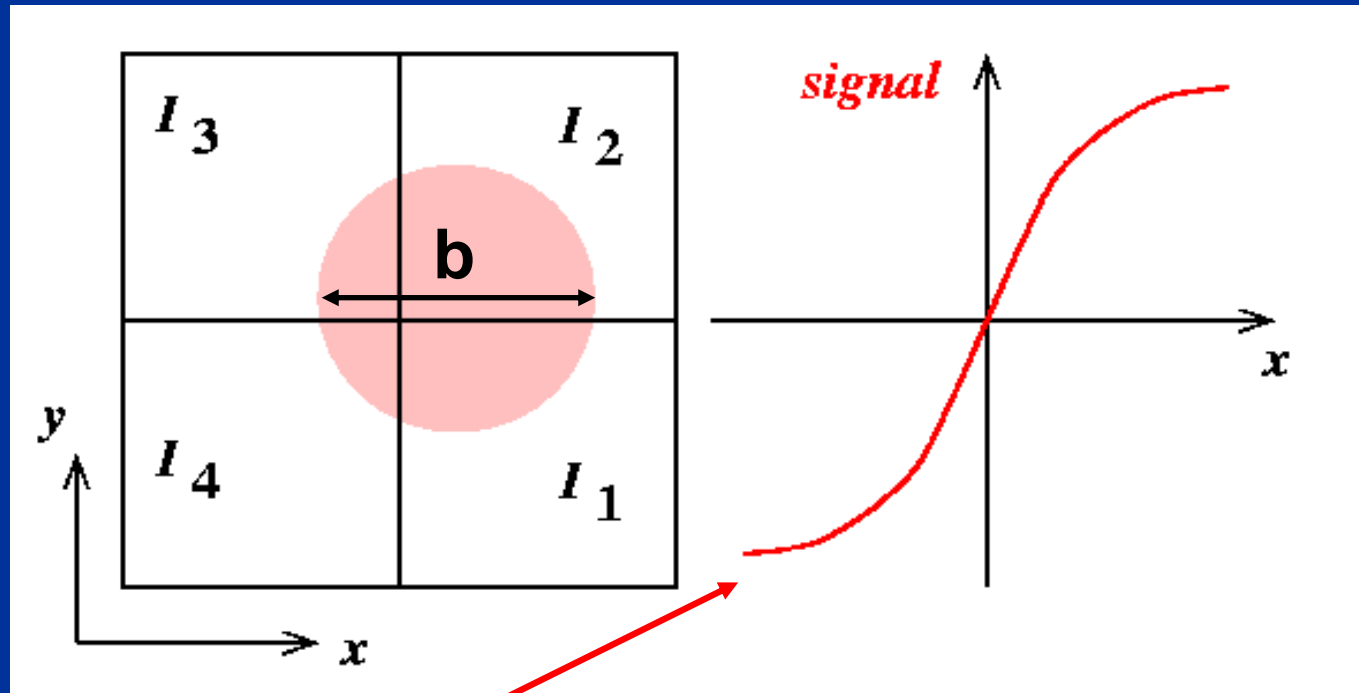


## Question

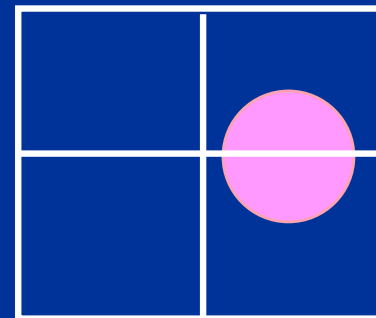
- What might happen if the displacement of the spot is  $>$  radius of spot? Why?



# Signal becomes nonlinear and saturates for large angular deviations



“Rollover” corresponds to spot being entirely outside of 2 quadrants



# Measurement error from Shack-Hartmann sensing



- Measurement error depends on size of spot as seen in a subaperture,  $\theta_b$ , wavelength  $\lambda$ , subaperture size  $d$ , and signal-to-noise ratio  $SNR$ :

$$\sigma_{S-H} = \frac{\pi^2}{2\sqrt{2}} \frac{1}{SNR} \left[ \left( \frac{3d}{2r_0} \right)^2 + \left( \frac{\vartheta_b d}{\lambda} \right)^2 \right]^{1/2} \text{ rad} \quad \text{for } r_0 \leq d$$

$$\sigma_{S-H} \cong \frac{6.3}{SNR} \text{ rad of phase} \quad \text{for } r_0 = d \text{ and } \vartheta_b = \frac{\lambda}{d}$$

## Order of magnitude, for $r_0 \sim d$

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- If we want the wavefront error to be  $< \lambda/20$ , we need

$$\Delta z \equiv \frac{\sigma}{k} < \frac{\lambda}{20} \quad \text{or} \quad \sigma \cong \frac{6.3}{SNR} < \frac{2\pi}{20} \quad \text{so that } SNR > 20$$

# General expression for signal to noise ratio of a pixelated detector



- $S$  = flux of detected photoelectrons / subap  
 $n_{pix}$  = number of detector pixels per subaperture  
 $R$  = read noise in electrons per pixel
- The signal to noise ratio in a subaperture for fast CCD cameras is dominated by read noise, and

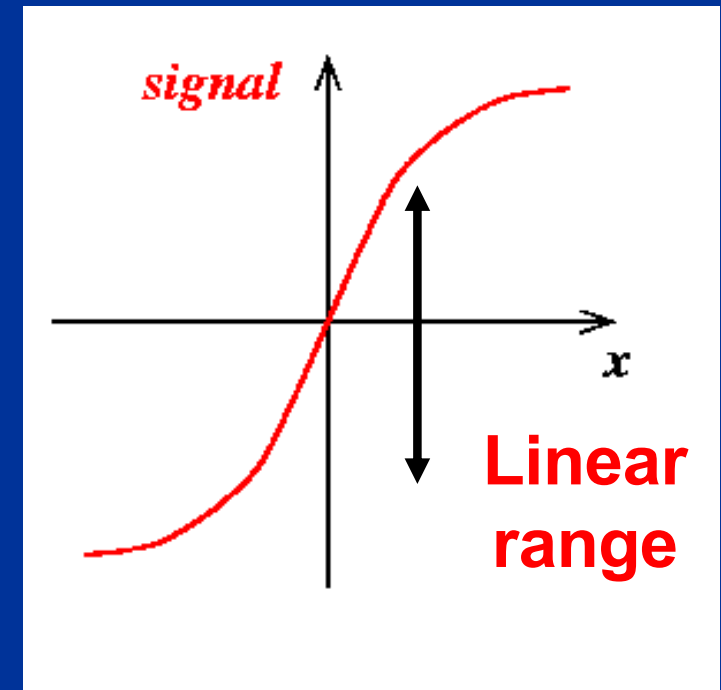
$$SNR \approx \frac{St_{int}}{(n_{pix}R^2 / t_{int})^{1/2}} = \frac{S\sqrt{t_{int}}}{\sqrt{n_{pix}}R}$$

See McLean,  
“Electronic Imaging in  
Astronomy”, Wiley

# Trade-off between dynamic range and sensitivity of Shack-Hartmann WFS



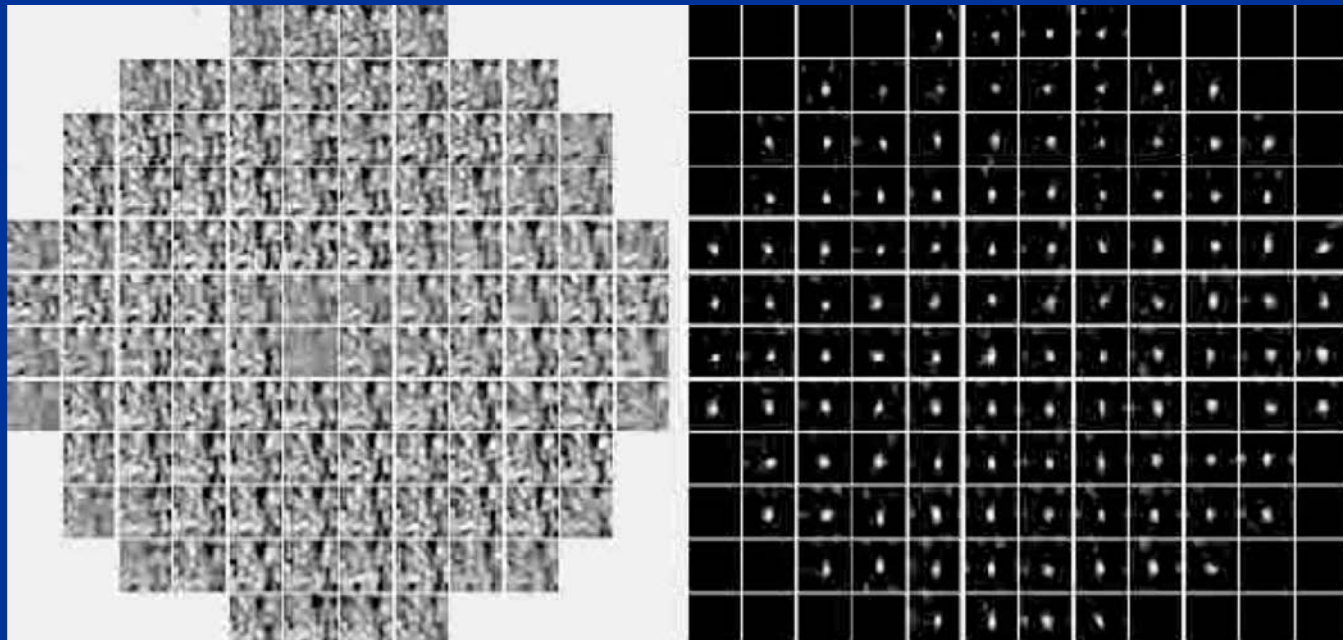
- If spot is diffraction limited in a subaperture  $d$ , linear range of quad cell (2x2 pixels) is limited to  $\pm \lambda_{ref}/2d$ .
- Can increase dynamic range by enlarging the spot (e.g. by defocusing it).
- But uncertainty in calculating centroid  $\propto$  width  $\times N_{ph}^{1/2}$  so centroid calculation will be less accurate.
- Alternative: use more than 2x2 pixels per subaperture. Decreases  $SNR$  if read noise per pixel is large (spreading given amount of light over more pixels, hence more read noise).



# Correlating Shack-Hartmann wavefront sensor uses images in each subaperture



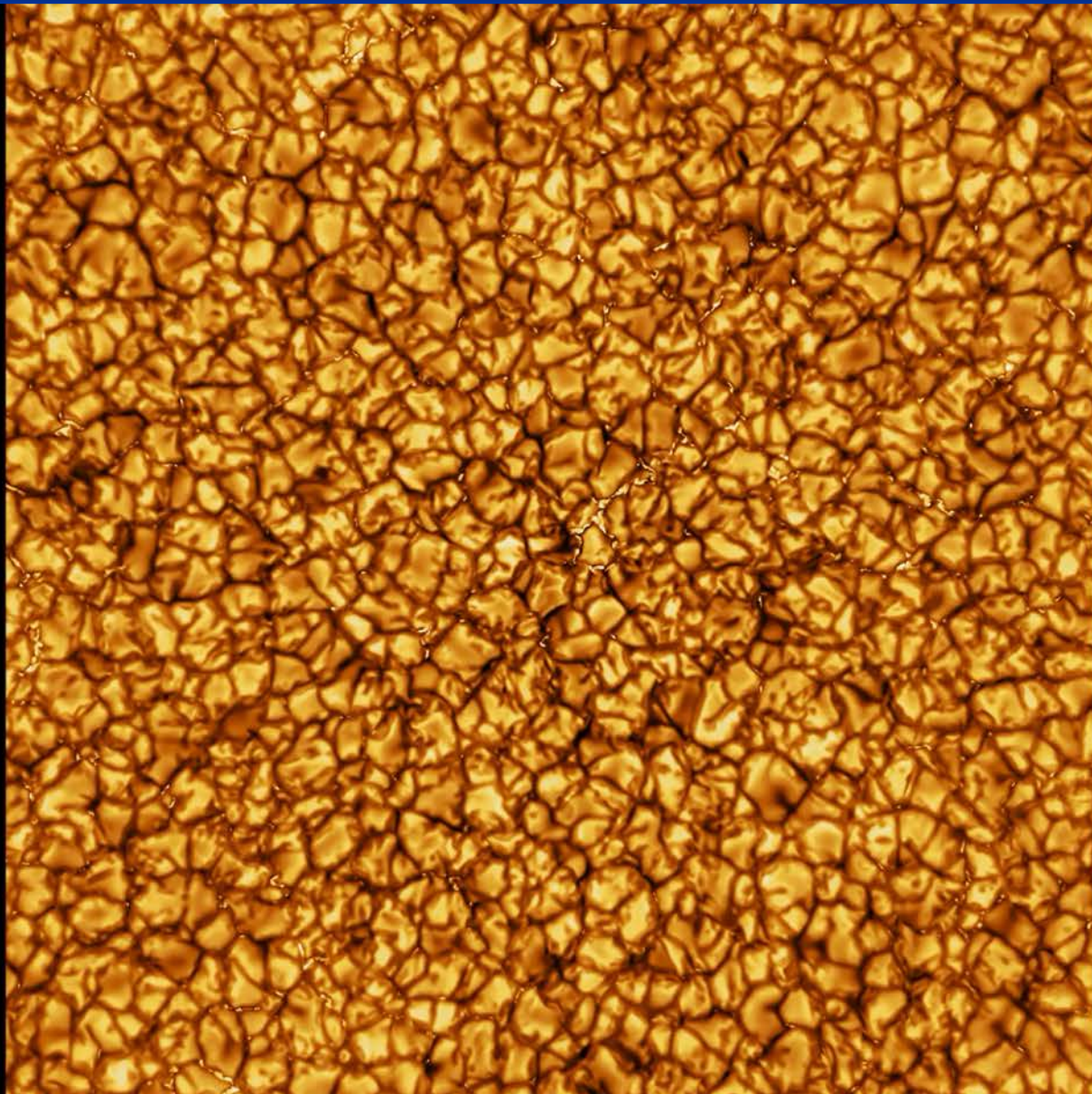
- Solar adaptive optics: Rimmele and Marino, Solar Physics Living Reviews



- Cross-correlation is used to track low contrast granulation
- Left: Subaperture images, Right: cross-correlation



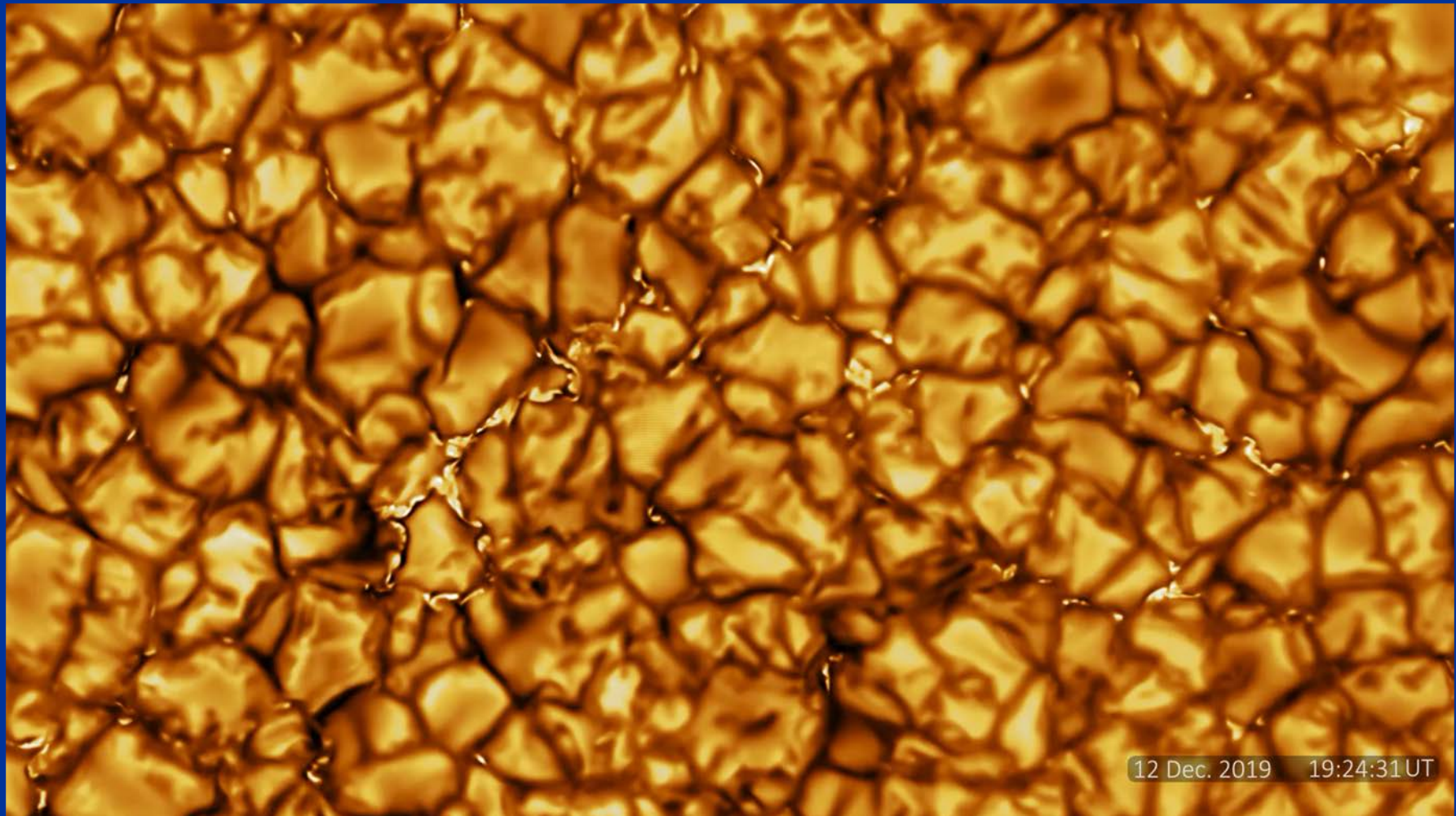
# *Brand new result: video of Sun from DKIST telescope, with AO*



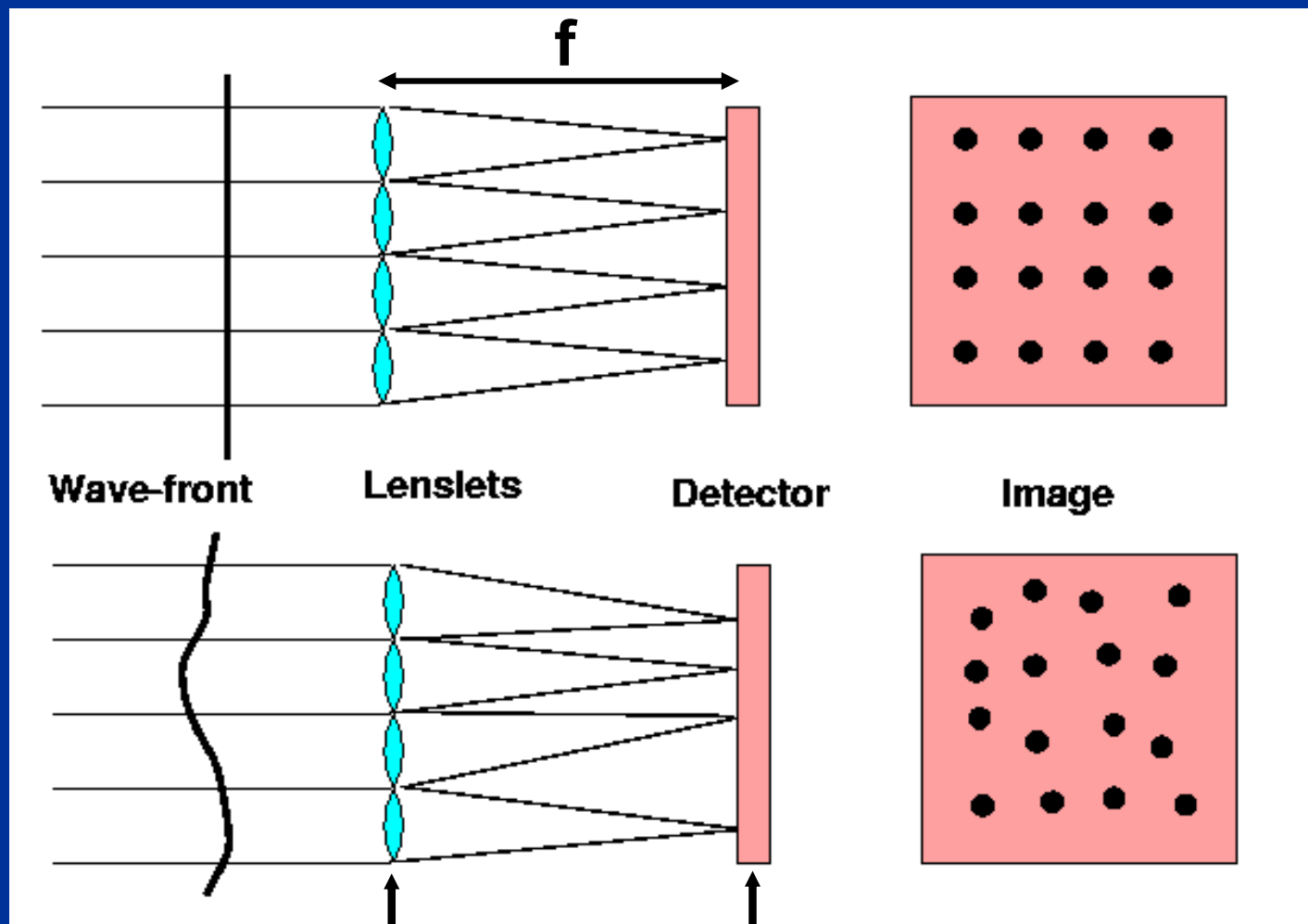
10 Dec. 2019 19:24:31 UT



# Zoomed-in Version



# Review of Shack-Hartmann geometry



Pupil plane

Image plane

# Pyramid sensor reverses order of operations in a Shack-Hartmann sensor

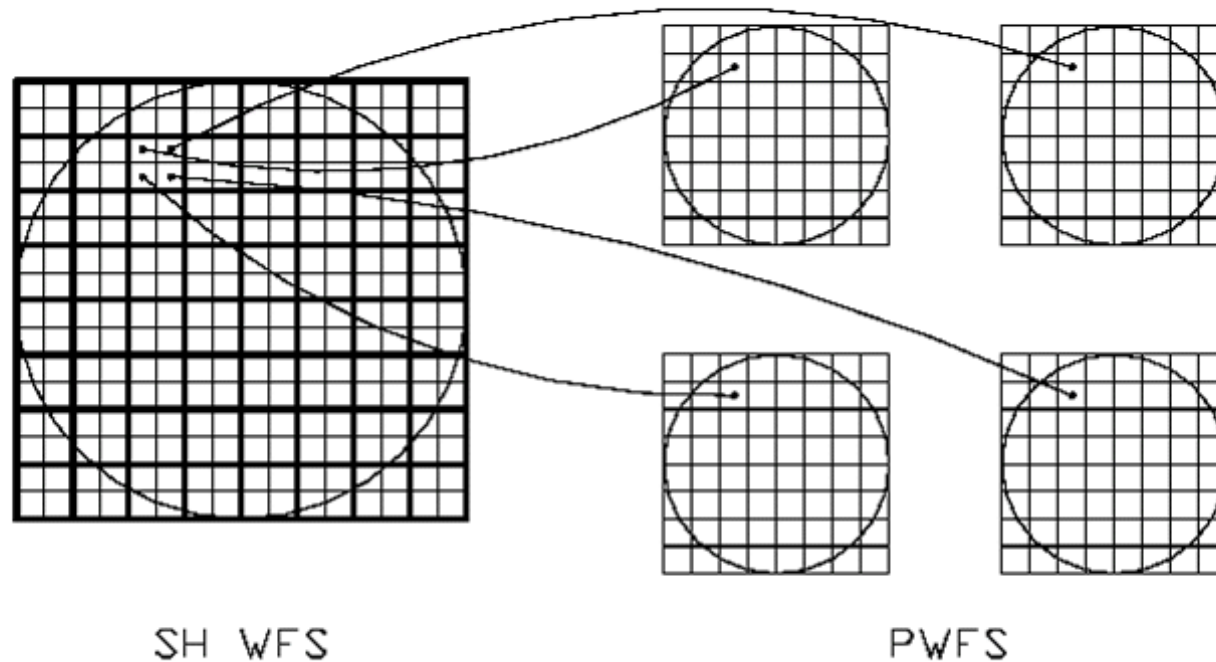


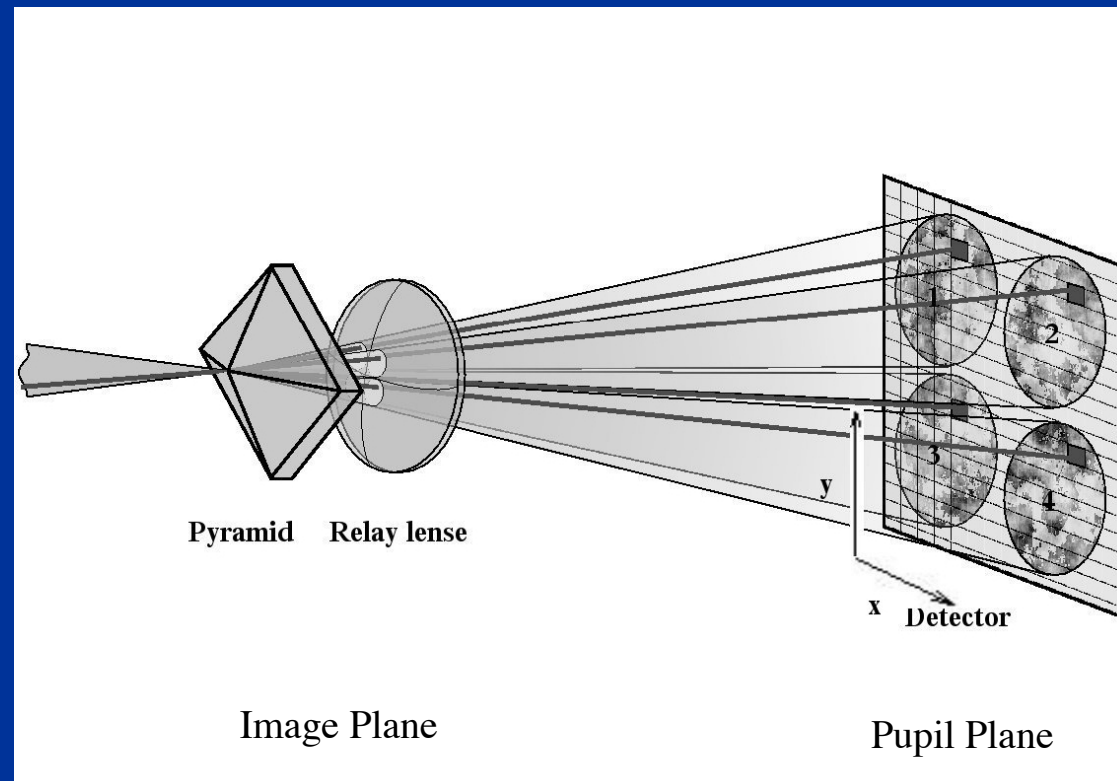
Figure 3- 4: Organization of SH wavefront data (left) versus pyramid wavefront data (right). The circle indicates the beam footprint on the WFS. The heavily-weighted squares on the left indicate the various subapertures (8x8 grid of subapertures). Each subaperture has 4 pixels (a quad cell). In a pyramid wavefront sensing scheme, each pixel represents a subaperture; the 4 images of the pupil correspond to the quadrants of the quad cell.

# A Pyramid WFS



Stellar image is placed on the tip of a four sided pyramid --- Creates four beams.

Intermediate optics form pupil images from the four beams.



Credit: Sebastian Egner

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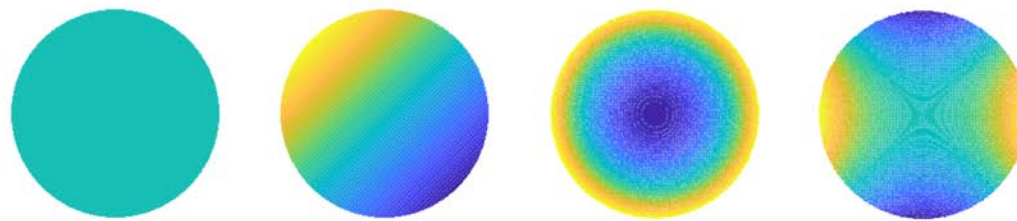


# *Pyramid for the William Herschel Telescope's AO system*

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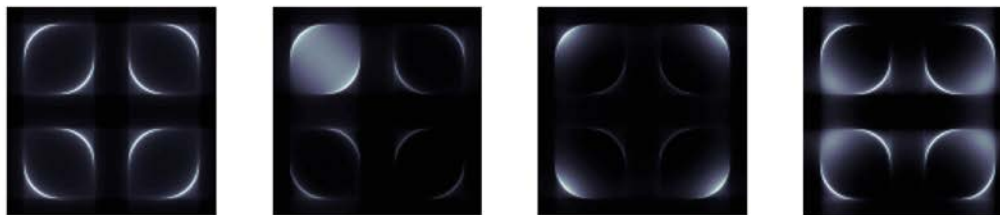
# Typical intensity patterns for a Pyramid Sensor



(a) Low order wavefront aberrations.



(b) Focal plane images for low order wavefront aberrations.



(c) Pyramid WFS signals for low order wavefront aberrations.

Figure 1: Effect of low order phase aberrations on the wavefront (a), focal plane (b) and Pyramid WFS signal (c). From left to right: flat, tip-tilt, focus, and astigmatism.

Credit: Charlotte Bond

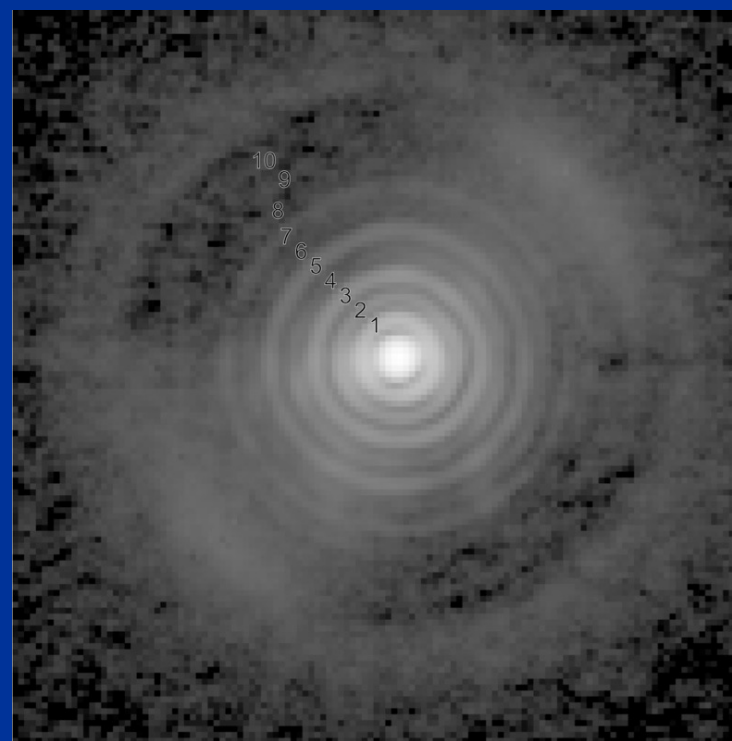
# Aspects of Pyramid Sensors



- More sensitive to low order modes.
  - Good match to atmosphere.
  - A change in sampling can easily be carried out via binning of CCD.

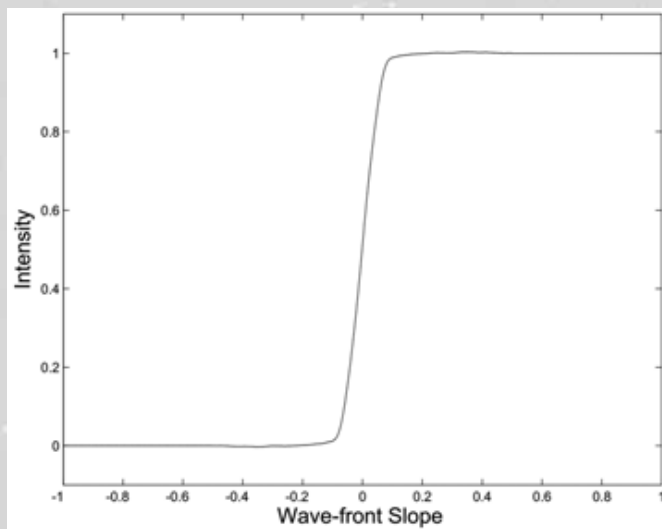
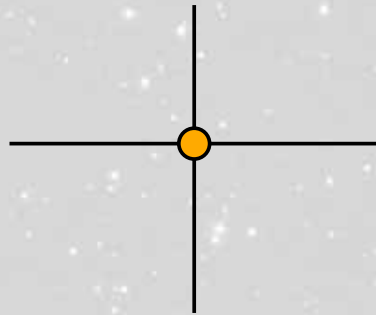
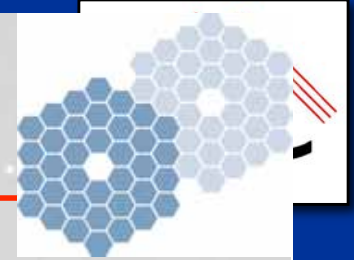
## Pyramid Based Systems

- LBT
- Magellan
- Subaru SCexAO
- Keck IR Pyramid Sensor

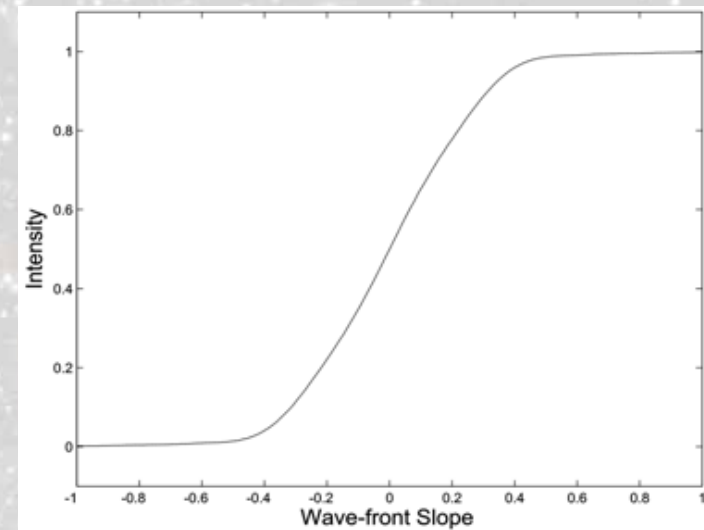
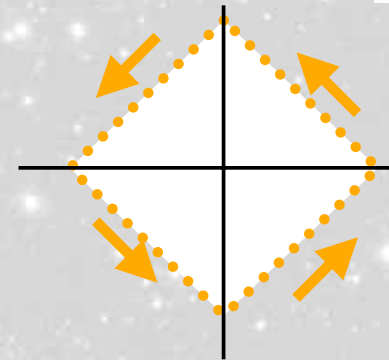




# Modulation of pyramid sensor



Without modulation:  
Linear over spot width



With modulation:  
Linear over modulation width

# Potential advantages of pyramid wavefront sensors

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- Wavefront measurement error can be much lower
  - Shack-Hartmann: size of spot limited to  $\lambda / d$ , where  $d$  is size of a sub-aperture and usually  $d \sim r_0$
  - Pyramid: size of spot can be as small as  $\lambda / D$ , where  $D$  is size of whole telescope. So spot can be  $D/r_0 = 20 - 100$  times smaller than for Shack-Hartmann
  - Measurement error (e.g. centroiding) is proportional to spot size/SNR. Smaller spot = lower error.
- Avoids bad effects of charge diffusion in CCDs
  - Fuzzes out edges of pixels.
  - Pyramid doesn't mind as much as S-H.

# *Potential pyramid sensor advantages, continued*

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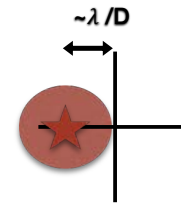
- Linear response over a larger dynamic range
- Naturally filters out high spatial frequency information that you can't correct anyway

# A Sensitivity Comparison

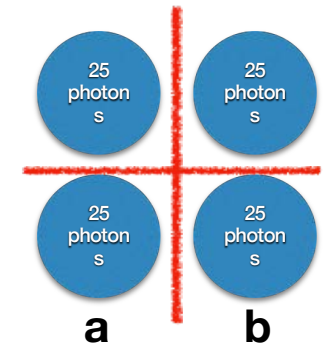
- Assume we have  $I_{\text{total}} = 100$  photons incident on a wavefront sensor.
- Assume an ideal detector (only photon noise affects precision).
- Compare a 20x20 S-H sensor to a pyramid sensor for measuring tilt.

## S-H

- ~300 subapertures
- 0.3 photons per sub aperture
  - $\text{SNR} = 0.3 / \sqrt{0.3} = 0.54$
- $\text{PSF} = \lambda/d = 20 \lambda / D$
- 300 independent measurements ( $N=300$ )
- $\sigma_{\text{tilt}} = \text{FWHM} / \text{SNR} / \sqrt{N} = 2.1 \lambda / D$



## Pyramid

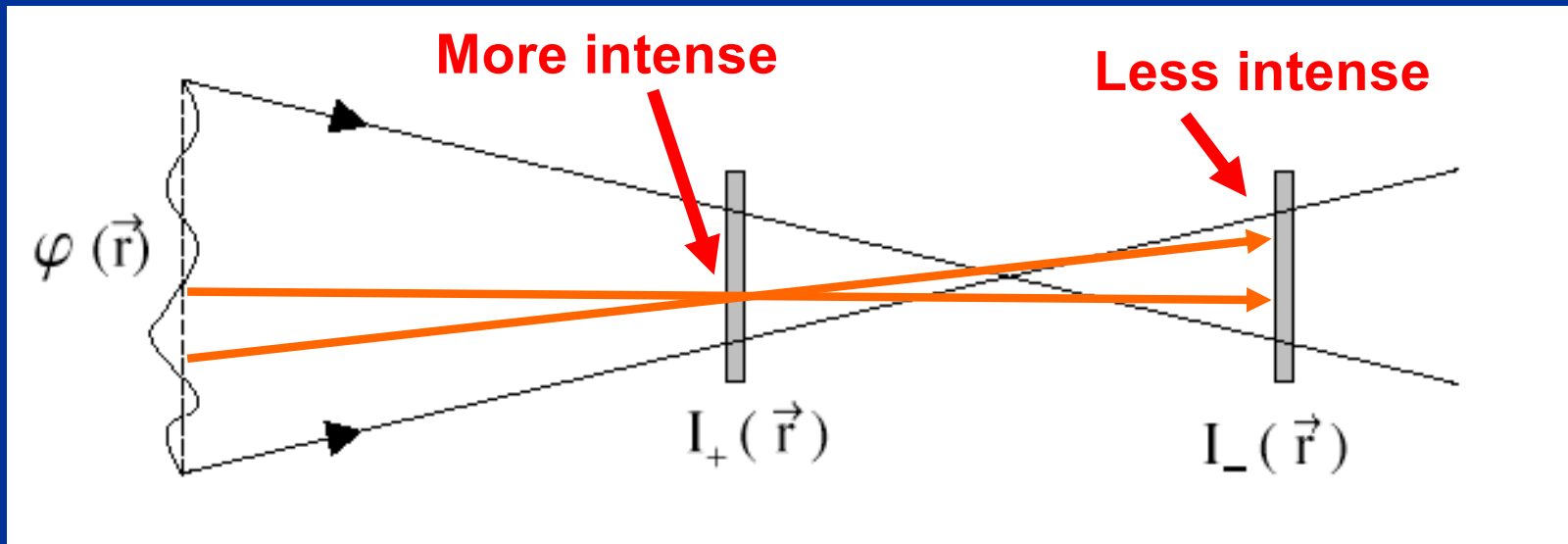


- Pyramid has all flux to one side (two pupils) when tilt offset is  $\sim 1 \lambda/D$ 
  - $(I_a - I_b) / I_{\text{total}} = 1 \rightarrow \text{tilt} = (I_a - I_b) / I_{\text{total}} * \lambda/D$
- There are, on average 50 photons on each side to measure flux balance
  - $\sigma_{\text{tilt}} = [\sqrt{(50 + 50) / 100}] * \lambda/D = 0.1 * \lambda/D$



# Curvature wavefront sensing

- F. Roddier, Applied Optics, 27, 1223- 1225, 1998



$$\frac{I_+ - I_-}{I_+ + I_-} \propto \nabla^2 \phi - \frac{\partial \phi}{\partial \vec{r}} \cdot \vec{\delta}_R$$

↑
←

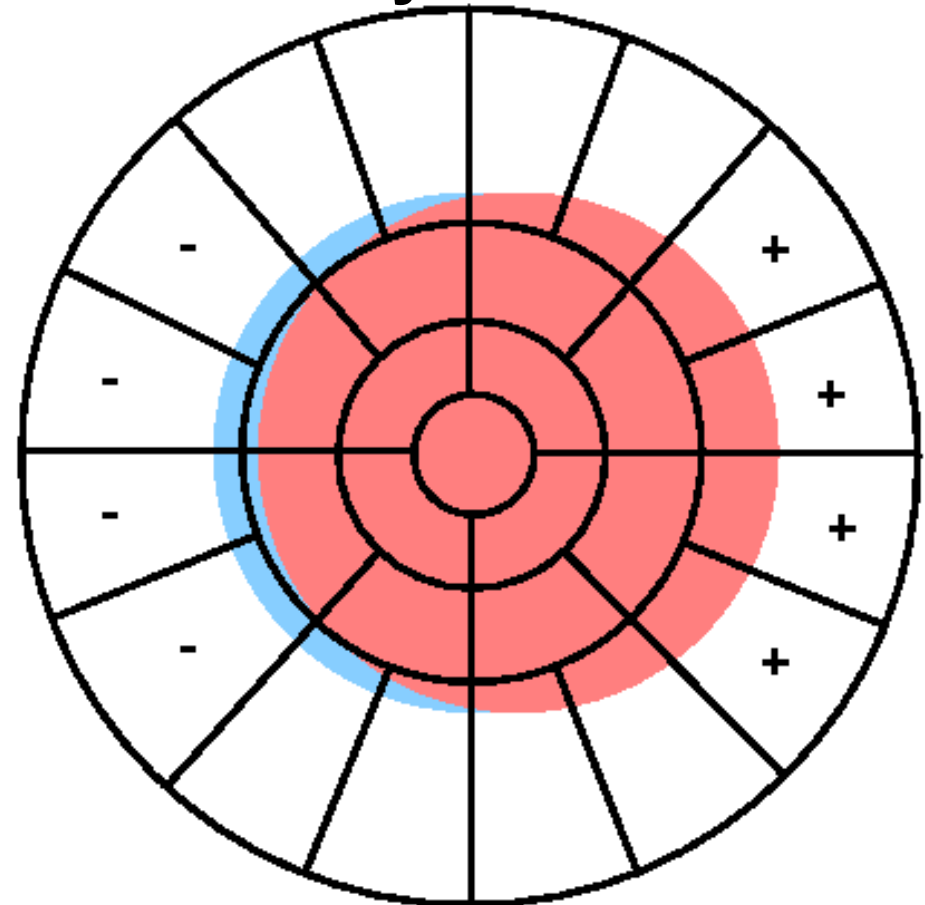
Laplacian (curvature)
 Normal derivative at boundary

# Wavefront sensor lenslet shapes are different for edge, middle of pupil



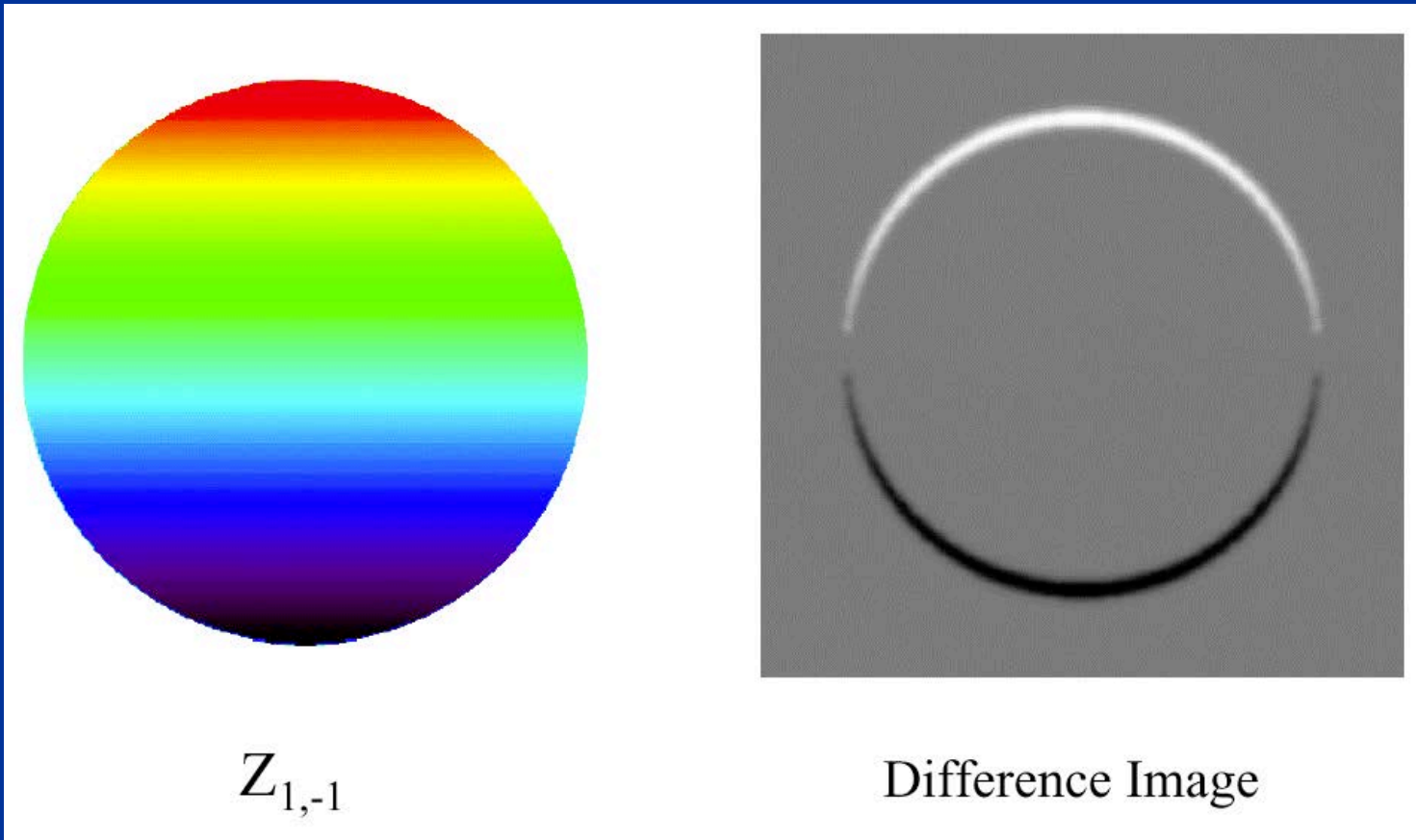
- Example: This is what wavefront tilt (which produces image motion) looks like on a curvature wavefront sensor
  - Constant I on inside
  - Excess I on right edge
  - Deficit on left edge

## Lenslet array



Gradient sensing

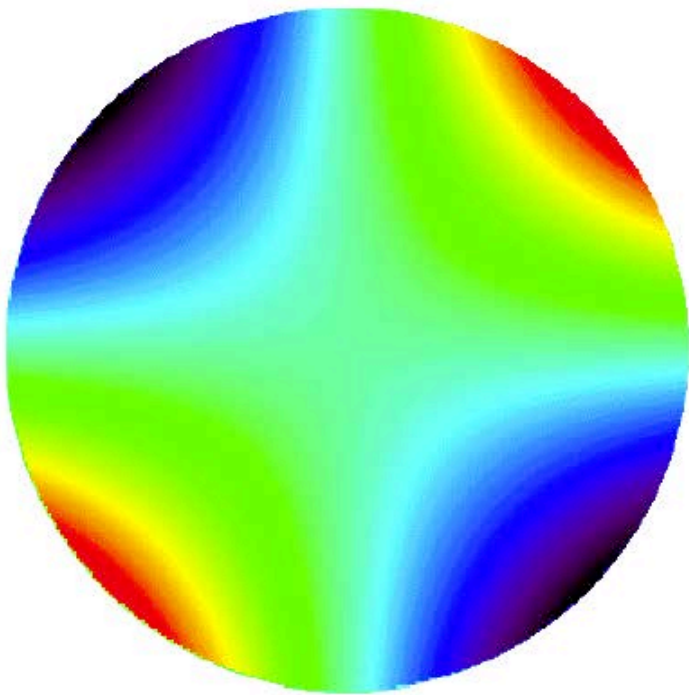
# Simulation of curvature sensor response



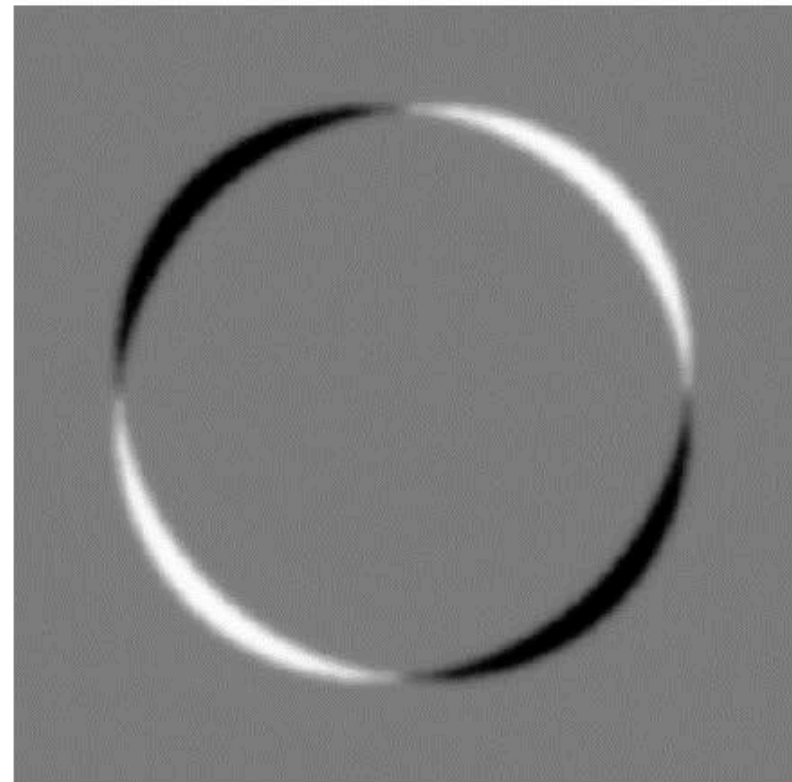
Wavefront: pure tilt

Curvature sensor signal

# Curvature sensor signal for astigmatism



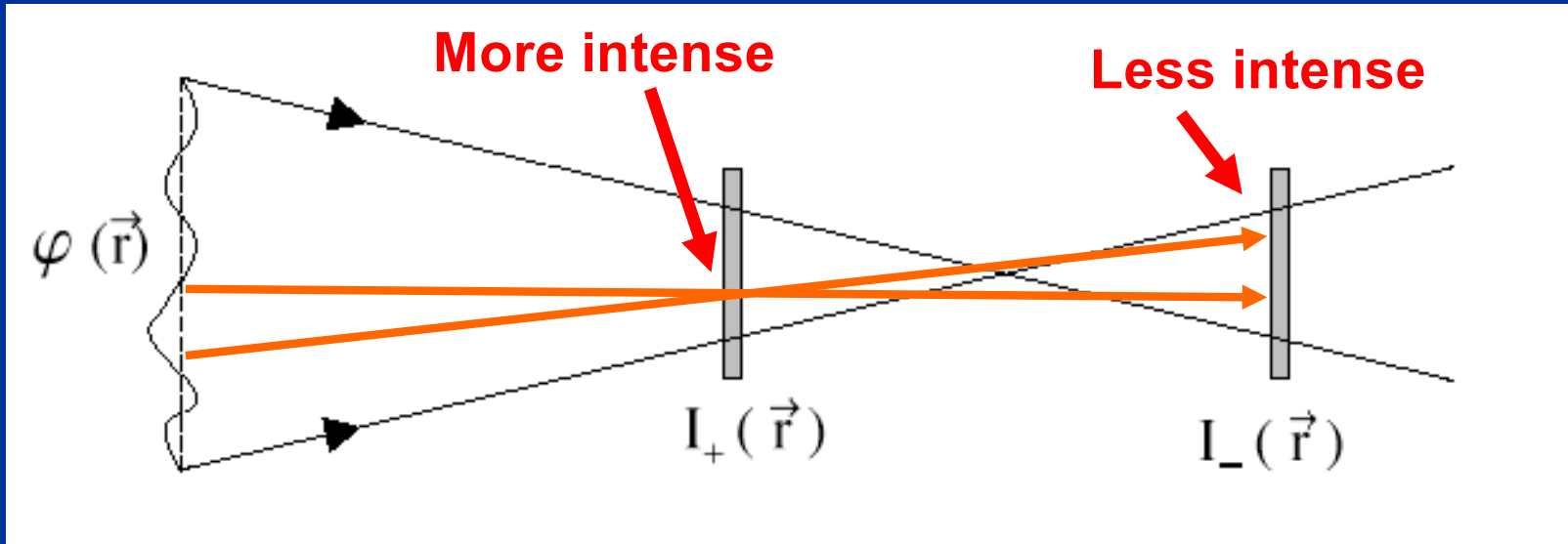
$Z_{2,-2}$



Difference Image



# Practical implementation of curvature sensing



- Use oscillating membrane mirror (2 kHz!) to vibrate rapidly between  $I_+$  and  $I_-$  extrafocal positions
- Measure intensity in each subaperture with an “avalanche photodiode” (only need **one** per subaperture!)
  - Detects individual photons, no read noise, QE ~ 60%
  - Can read out very fast with no noise penalty

# Measurement error from curvature sensing



- Error of a single set of measurements is determined by photon statistics, since detector has NO read noise!

$$\sigma_{cs}^2 = \pi^2 \frac{1}{N_{ph}} \left( \frac{\theta_b d}{\lambda} \right)^2$$

where  $d$  = subaperture diameter and  $N_{ph}$  is no. of photoelectrons per subaperture per sample period

- Error propagation when the wavefront is reconstructed numerically using a computer scales poorly with no. of subapertures  $N$ :

$(\text{Error})_{\text{curvature}} \propto N$ , whereas  $(\text{Error})_{\text{Shack-Hartmann}} \propto \log N$

# Advantages and disadvantages of curvature sensing

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- Advantages:
  - Lower noise  $\Rightarrow$  can use fainter guide stars than S-H
  - Fast readout  $\Rightarrow$  can run AO system faster
  - Can adjust amplitude of membrane mirror excursion as “seeing” conditions change. Affects sensitivity.
  - Well matched to bimorph deformable mirror (both solve Laplace’s equation), so less computation.
  - Curvature systems appear to be less expensive.
- Disadvantages:
  - Avalanche photodiodes can fail with too much light
  - Hard to use a large number of avalanche photodiodes.
  - BUT - recently available in arrays
  - Doesn’t scale well to large numbers of subapertures

## Summary of main points

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- Wavefront sensors in common use for astronomy measure intensity variations, deduce phase. Complementary.
  - Shack-Hartmann
  - Curvature sensors
- Curvature systems: cheaper, fewer degrees of freedom, scale more poorly to high no. of degrees of freedom, but can use fainter guide stars
- Shack-Hartmann systems excel at very large no. of degrees of freedom
- Most recent addition: pyramid sensors
  - Very successful for faint natural guide stars, low modes